

No: 136/2022/PHS-PL

Ref.: *disclosing passing change of the
Head of Risk Management Division*

Ho Chi Minh City, 13 May 2022

**INFORMATION DISCLOSURE ON WEBSITE OF
STATE SECURITIES COMMISSION**

To: • **State Securities Commission**

Name: **PHU HUNG SECURITIES CORPORATION (PHS)**

Headquarters: 21st Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City.

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Person to implement information disclosure: Mr. Chen Chia Ken

Type of information disclosure: 24 hours 72 hours Unusual Periodic

Information of disclosed content:

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses passing change of the Head of Risk Management Division of Company, as below:

1. Dismiss the title as Deputy Manager of Risk Management Division of Ms. Do Thi Ngoc Van for resignation since May 20th, 2022.
2. Approve the daily operation of Risk Management Division will be managed by Ms. Nguyen Thi Thu Anh – Supervisor of Risk Management Division on May 21st, 2022.
 - Former position in the organization: Supervisor of Risk Management Division
 - Newly appointed position: Supervisor of Risk Management Division
 - Term: none
 - Effective date: 21/5/2022

3. The Board of Directors authorized to General Director to implement and sign all documents related to the necessary procedures accordance with law about this issue.

(Please find the attached for details)

The information is disclosed on PHS's website at <https://www.phs.vn>, tab Investor Relations, and section Shareholder Information.

PHS undertakes the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

Attachments:

- Resolution No. 04/69_0522/NQ-HDQT dated 13 May 2022.
- Curriculum Vitae of Ms. Nguyen Thi Thu Anh.

Recipient:

- As above;
- Archive at Company Secretariat;
- Archive at Legal Division.

PERSON TO IMPLEMENT
INFORMATION DISCLOSURE



Mr. CHEN CHIA KEN

Ref. No.: 04/69_0522/NQ-HĐQT

Ho Chi Minh City, May 13th, 2022

RESOLUTION
BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION
(TERM 2022 – 2025)

- Pursuant to Law on Enterprises;
- Pursuant to Law on Securities;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/69_0522/BB-HĐQT dated May 13th, 2022 of Board of Directors of the Company;

RESOLVE:

Article 1: Resolution 8

The Board of Directors passed the Changing the Head of Risk Management Division of Company, main details as below:

1. Dismiss the title as Deputy Manager of Risk Management Division of Ms. Do Thi Ngoc Van for resignation since May 20th, 2022.
2. Approve the daily operation of Risk Management Division will be managed by Ms. Nguyen Thi Thu Anh – Supervisor of Risk Management Division on May 21st, 2022.
3. The Board of Directors authorized to General Director to implement and sign all documents related to the necessary procedures accordance with law about this issue.

Article 2: Organization and implementation

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;
- Archived at BOD Secretary;
- Archived at Company Secretariat.



For and on behalf of B.O.D.
Chairman

Albert Kwang-Chin Ting